

15992 U.S. PTO
033104

Customer Number 23446
Docket No. 14923US02/100-266

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION TRANSMITTAL

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

16834 U.S. PTO
10/816276
033104

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Marc D. Better
249 Sheridan Road
Oakland, CA 94618

Arnold H. Horwitz
2720 Lakeview Drive
San Leandro, CA 94577

Title: HUMAN ENGINEERED ANTIBODIES TO EP-CAM

1. Type of Application:

- ☒ This is a new application for a
☒ utility patent.
☐ design patent.

2. Application Papers Enclosed

- 1 Title Page
102 Pages of Specification (excluding Claims, Abstract & Drawings)
4 Page(s) of Claims (Claims 1 – 38)
1 Page(s) of Abstract
21 Sheet(s) of Drawings (Figs. 1 to 20)
☒ Formal
☐ Informal
56 Page(s) of Sequence Listing

EXPRESS MAIL NO. EV 326569490 US

DATE OF MAILING: March 31, 2004

3. Declaration or Oath

- ☐ Enclosed - unexecuted
 - ☐ Executed by (check all applicable boxes)
 - ☐ Inventor(s)
 - ☐ Legal representative of inventor(s)
(37 CFR §1.42 or §1.43)
 - ☐ Joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached
 - ☐ The petition required by 37 CFR §1.47 and the statement required by 37 CFR §1.47 are enclosed. See Item 6D below for fee.
- ☒ Not enclosed - the undersigned attorney or agent is authorized to file this application on behalf of the applicant(s). An executed declaration will follow.

4. Additional Papers Enclosed

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Declaration of Biological Deposit
- ☒ Computer readable copy of sequence listing containing nucleotide and/or amino acid sequence and Statement Under 37 C.F.R. §1.821
- ☒ Paper Copy of the Sequence Listing
- ☐ Associate Power of Attorney
- ☐ Verified translation of a non-English patent application
- ☐ An assignment of the invention
- ☐ Other

5. Priority Applications Under 35 USC §119(e)

This application claims priority under 35 USC §119(e) from the following U.S. Provisional Application(s):

COUNTRY	APPLICATION NO.	FILED
US	60/459,334	March 31, 2003

6. **Filing Fee Calculation (37 CFR §1.16)**

A. ☒ **Utility Application**

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$ 385.00		\$770.00
TOTAL	38-20	= 18	X 9 =	\$ 162.00	X 18 =	\$
INDEP.	3-3	= 0	X 43 =	\$	X 86 =	\$
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 145 =	\$ 145.00	+ 280 =	
Filing Fee:				\$ 692.00	OR	\$

B. ☐ **Design Application (\$170.00/\$340.00)**

Filing Fee: \$ _____

C. ☐ **Plant Application (\$265.00/\$530.00)**

Filing Fee: \$ _____

D. **Other Fees**

☐ **Recording Assignment**

[Fee – \$40.00 per assignment] \$ _____

☐ **Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached [Fee – \$130.00]**

\$ _____

☐ **Other**

\$ _____

Total Fees Enclosed \$ _____

7. Method of Payment of Fees:

- ☒ Enclosed check in the amount of: \$ 385.00
- ☐ Charge Deposit Account No. 13-0017
in the amount of:
- ☐ Not enclosed \$ _____

8. Deposit Account and Refund Authorization

- ☒ The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR §1.16 or 37 CFR §1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-0177.
- ☐ Please refund any overpayment to McANDREWS, HELD & MALLOY, Ltd.
at the address below.

Please direct all future communications to Janet M. McNicholas, Ph.D. at the address below:

Respectfully submitted,

Dated: March 31, 2004



Janet M. McNicholas, Ph.D.
Reg. No: 32,918

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